

## UNIT XVII: EXTENSIONS OF THE AUGMENTED-SIXTH PRINCIPLE

1. The augmented-sixth chord is capable of several effective irregular resolutions:

Gr<sup>6</sup> I      Gr<sup>6</sup> V<sup>4</sup>/V      Gr<sup>4</sup> I

2. A chord of augmented-sixth structure and resolution can be built on degrees of the scale other than the lowered 6°, yet resolve to a chord within the key. Most common is such a chord built on the lowered 2°, resolving to the tonic. This chord may be spelled as a “Neapolitan seventh”, or as an augmented sixth – a NFr<sup>4</sup><sub>3</sub> (the most common), or a NGr<sup>6</sup><sub>5</sub>, etc. The resolution to the tonic is analogous to the progression of a normal augmented sixth to the dominant; notice that the NFr<sup>4</sup><sub>3</sub> is equivalent to a V<sup>4</sup><sub>3</sub> with a “flat 5”, a lowered 2°.

NFr<sup>4</sup><sub>3</sub> I      NGr<sup>6</sup><sub>5</sub> I  
(N<sup>7</sup>)

Another such progression resolves the augmented-sixth interval to an octave on the third of the tonic triad, rather than to the root or fifth:

IV<sup>7</sup> I<sup>6</sup>  
(Gr<sup>6</sup><sub>5</sub>/vi)

3. Seventh chords of structure other than that of root-position major-minor sevenths (or the enharmonic equivalent) may be built on the lowered 6°, producing several more kinds of “Phrygian cadence”. The most common types are major-minor sevenths in other inversions, and half-diminished sevenths:

Gr<sup>6</sup> I<sup>6</sup> V<sup>6</sup>/vi V<sup>7</sup> N<sup>4</sup><sub>3</sub> V<sup>7</sup> V<sup>4</sup><sub>2</sub>/III V<sup>7</sup>

[IV<sup>6</sup><sub>5</sub> Gr<sup>6</sup><sub>5</sub>] [V<sup>4</sup><sub>3</sub>/V Gr<sup>6</sup><sub>5</sub>] [V<sup>4</sup><sub>2</sub> V<sup>7</sup>/vi]

OR

♭vi<sup>7</sup>? V<sup>7</sup> ♭iv<sup>6</sup><sub>5</sub> I<sup>6</sup> ♭iv<sup>7</sup> I<sup>6</sup> ♭ii<sup>4</sup><sub>3</sub> I<sup>6</sup> ♭vii<sup>4</sup><sub>2</sub>? V<sup>7</sup>

Fr<sup>6</sup><sub>5</sub>/ii V<sup>7</sup>

?

Gr<sup>7</sup><sub>6</sub> V<sup>7</sup>

4. Since the  $Fr_3^4$  is a chord of symmetrical structure, it is possible to modulate to remote keys via the relation  $\left[ \begin{array}{c} Fr_3^4 \\ Fr_7^7 \end{array} \right]$ :

$C:$  I  $V^6$   $ii_3^4$   $Fr_3^4$  (V)  $Fr_5^6$   $Fr_2^4$   $V^6$   $\left[ V_2^4 \right] \rightarrow IV^6$   $ii_3^4$   $V^7$  I

# Examples, Unit XVII

EX 1

Schubert, String Quintet D.956/iv

Musical score for Schubert's String Quintet D.956/iv, Example 1. The score is written for five staves (Violin I, Violin II, Viola, Cello, and Double Bass). The music is in 3/4 time and features a complex texture with multiple dynamics including *fz*, *fff*, and *triumm*. The piece includes triplets and a prominent melodic line in the upper strings.

EX 2

Chopin, Nocturne Op 9 No 1

Musical score for Chopin's Nocturne Op 9 No 1, Example 2. The score is written for piano and includes detailed performance instructions such as *f*, *cresc.*, *ff*, *dimin.*, *p*, *smorz.*, *ritenuto*, *ppp*, *accelerando*, and *dimin.*. The piece features intricate fingerings, ornaments, and a variety of articulations. The score is divided into three systems, each with a treble and bass clef staff.





EX 5

Brahms, Capriccio Op 76 No 2

*rit. dim.*  
*p una corda*

\* Red. Red.

Detailed description: This block contains the first ten measures of Brahms' Capriccio Op. 76 No. 2. The score is written for piano in G major and 3/4 time. The right hand features a complex, rhythmic melody with many accidentals and slurs. The left hand provides a steady accompaniment of eighth notes. Performance markings include 'rit. dim.' at the top right, 'p una corda' below the right hand, and 'Red.' (Reduction) markings under the left hand in measures 8 and 10. A small asterisk is placed under the first measure.

EX 6

Chopin, Mazurka Op 17 No 4

*p*  
*ten.*

*ten.*

93 105 111

6 54

Detailed description: This block contains measures 93 through 111 of Chopin's Mazurka Op. 17 No. 4. The score is in G major and 3/4 time. The right hand has a melodic line with slurs and accents, while the left hand plays a rhythmic accompaniment of chords. Performance markings include 'p' (piano) at the start, 'ten.' (tenuendo) in measures 95 and 103, and '6' and '54' (fingerings) in the right hand. Measure numbers 93, 105, and 111 are indicated at the beginning of their respective lines. A small asterisk is placed under the left hand in measure 105.

Brahms, Symphony No 4/iv

IV

EX 7

Allegro energico e passionato

Flauti  
 Oboi  
 Clarinetti in [A La]  
 Fagotti  
 Contrafagotto  
 Corni in [E Mi]  
 Corni in [C Do]  
 Trombe in [E Mi]  
 Tromboni  
 Timpani in [G H E Sol Si Mi]  
 Violino I  
 Violino II  
 Viola  
 Violoncello  
 Contrabasso

Allegro energico e passionato

EX 8

Grieg, *Hjertesår*  
 Op. 34, N° 1.

Allegretto espressivo.

*p*  
*cresc.*  
*f*  
*cresc. molto*  
*f*  
*fp*  
*pp*

Red \*



# Letzter Frühling.

(The Last Spring.)

Grieg, *Våren*

Op. 34, No. 2.

EX 9

Andante. *cantabile.*

*pp*

*p*

*pp* *cresc.*

*pp*

Red. \* Red. \* Red. \* Red. \*

EX 10

Grieg, *Bådnlåt*, Op 68 #5

The musical score for Grieg's *Bådnlåt*, Op 68 #5, is presented in three systems. The key signature is three sharps (F#, C#, G#) and the time signature is 4/4. The first system features a melody in the right hand with triplets and a bass line in the left hand. Dynamics include *cresc. molto* and *fz*. The second system begins with a piano (*p*) dynamic and includes instructions for *una corda* and *Red.* (pedal). Dynamics range from *ppp* to *p*, with tempo markings of *poco rit.* and *a tempo*. The third system continues with dynamics from *molto fz* to *p*, including *molto* and *la melodia ben ten.* (the melody is well sustained) instructions. Fingerings and articulation marks are clearly indicated throughout.

EX 11

Wagner, *Tristan*, Prelude

*Lento e languido.*  
*Langsam und schmachkend.*

The musical score for Wagner's *Tristan*, Prelude, is shown in a single system. The key signature is three sharps (F#, C#, G#) and the time signature is 6/8. The score is marked *ppp* (pianissimo) and includes a *dim.* (diminuendo) instruction. The tempo is *Lento e languido* (slow and languid). The notation features a complex melodic line in the right hand and a supporting bass line in the left hand, with various articulation marks and fingerings.

The musical score is presented in three systems, each with a treble and bass clef staff. The key signature is G major (one sharp) and the time signature is 3/4. The score includes various musical notations such as slurs, accents, and dynamic markings. Fingerings are indicated by numbers 1-5 above or below notes. The piece is marked with several dynamics: *Red.* (ritardando), *marc.* (marcato), *p* (piano), *mf* (mezzo-forte), *ff* (fortissimo), and *fz* (forzando). There are also markings for *poco rit.* and *a tempo*. The score is decorated with asterisks (\*) and vertical lines indicating specific performance points or ornaments. The first system ends with a *Red.* marking and an asterisk. The second system features a *marc.* section followed by a *p* section, with several *Red.* markings and asterisks. The third system begins with *poco rit.* and *a tempo fff*, leading to a *fz* section, with *Red.* markings and asterisks throughout.

HAG. (Hagen sitzt mit dem Rücken an den Pfosten der Halle gelehnt, bewegungslos.)  
(Hagen sits motionless, leaning his back against the post of the hall entrance.)

Sehr gemässigt und etwas zögernd. Hier sitz' ich zur  
Here sit I on

*sf f dim. - - - più p*

Wacht, wah - re den Hof, weh - re die Hal - le dem Feind.  
guard, watching the house, ward - ing the hall from the foe.

*pp poco sf p*

*p pp p pp*

HAG.  
Gi - bich's Soh - ne we - het der Wind, auf Wer - ben fährt er da -  
Winds are waft - ing Gi - bich's son, a - far - - - to his woo - ing he

*p P.*



